

**SUPPLIER QUESTIONNAIRE**

To be completed by all suppliers of goods or technology to CW Fletcher. If supplying goods containing US components please complete all sections. Suppliers in the UK/EU that supply parts with no US origin please complete Section 1, 3 and 4. Please supply information related to each item on the order, you may photocopy this questionnaire if required. CW Fletcher ask you to complete this form with as little delay as possible to provide us with important information necessary to our export and import control and compliance procedures, as well as our procurement best practice requirements.

If this form is not returned it will be assumed that no USA export or re-export control restrictions apply to the goods being ordered and we will act accordingly. Your cooperation is appreciated.

<b>Section 1</b>	
<b>Company Details</b>	
Company Name: .....	Company unique ID (DUNS number):.....
.....	.....
Address: .....	Company unique ID Authority: .....
.....	.....
Declaration Scope or Class:	a) Company wide <input type="checkbox"/> b) Product or list of product <input type="checkbox"/> c) User defined <input type="checkbox"/>
Contact name:	Date:
Email Address:	Tel No:
<b>Section 2</b>	
<b>If you are supplying US goods please fill in this section, if not, please continue to Section 3.</b>	
<b>QUESTION 1) Is/Are the product(s) controlled under either:</b>	
a) ITAR (International Traffic in Arms Regulations- US Dept of State) Yes <input type="checkbox"/> No <input type="checkbox"/>	
If yes, please state the <b>ITAR ML Category No.</b> .....	
Please provide your Department of State Registration No: <span style="border: 1px dashed black; display: inline-block; width: 200px; height: 20px; vertical-align: middle;"></span>	
b) EAR (Wassenaar Arrangement Munitions List- WAML) EAR600 Series Yes <input type="checkbox"/> No <input type="checkbox"/>	
If yes, please state the <b>ECCN (Export Control Classification Number) ECCN</b> .....	
<b>Confirm if Licence Exception STA is being considered to ship these goods</b>	
c) EAR (Export Administration Regulations- US Department of Commerce) Yes <input type="checkbox"/> No <input type="checkbox"/>	
If yes, please state the <b>ECCN (Export Control Classification Number) ECCN</b> .....	

**QUESTION 2)**

If EAR controlled, does the *de minimis* rule apply to this/these product(s)? Yes  No

If yes, what is the acceptable percentage?

**QUESTION 3)**

a) Is an Individual Validated License required to ship the product to the United Kingdom?

Yes  No  (if no please continue to Section 3).

b) Is a license already in place? Yes  No  (if yes please continue to Section 3).

c) Will obtaining the license cause delays in supply? Yes  No   
if yes please give details below

.....  
.....

**Section 3**

**All Non-EU Suppliers**

**QUESTION 1)**

What is the HS (Harmonised System) Code for your product as declared at export from your country? *Note this number is also referred to as a commodity code or tariff number*

Part supplied to CWF	HTS Code

**QUESTION 2)** Your supply under this contract should be made under an appropriate INCOTERM as published by the International Chamber of Commerce (ICC).

Please indicate which term you are supplying under, e.g. CIP, FCA, Ex Works etc.

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**QUESTION 3)**

Are there any other restrictions/special conditions relating to this supply? Yes  No

if yes please give details below

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**QUESTION 4)**

We anticipate shipping delays due to export controls/licensing issues. Yes  No

**QUESTION 5)**

We have an export compliance policy. Yes  No

*(Please note – CWF may ask for a copy)*

**Section 4****Purchasing Information Review**

**QUESTION 1)** Does your company have a policy on Human Slavery, covering the UK Modern Slavery Act 2015 (2015 Chapter 30) and/or US Combating Trafficking in Persons (52.222-50)? *(Please note – CWF may ask for a copy)*

Yes  No

**QUESTION 2)** Please select one of the statements below for Certification regarding knowledge of Child Labour for listed end products (FAR 52.222-18):

We will not supply any end product listed below that was mined, produced, or manufactured in the corresponding country as listed for that end product.

We may supply an end product listed below that was mined, produced, or manufactured in the corresponding country as listed for that product. We certify that we have made a good faith effort to determine whether forced or indentured child labour was used to mine, produce, or manufacture such end product. On the basis of those efforts, we certify that we are not aware of any such child labour.

Listed End Products

Bamboo

Beans (including yellow, soya, and Green beans)

Bricks (handmade)

Chillies

Corn

Pineapples

Rice

Rubber

Shrimp (aquaculture)

Sugarcane

Teak

Cattle

Dried fish

Fish

Garments

Gold

Wolframite

Listed Countries of Origin

Burma

Burma

Burma, Pakistan

Burma

Burma

Burma

Burma

Burma

Burma

Burma

Burma

South Sudan

Bangladesh

Ghana

Vietnam

Democratic Republic of Congo

Democratic Republic of Congo

**QUESTION 3)** Do you have a mandated code of conduct on business ethics that your employees sign up to? *(Please note – CWF may ask for a copy)*

Yes  No

**QUESTION 4)** Do you have a company policy on bribery and corruption and is this mandated to all members of staff? *(Please note – CWF may ask for a copy)*

Yes  No

**QUESTION 5)** In accordance with the Dodd-Frank Act and the Securities and Exchange Commission’s ruling on conflict minerals, it is your responsibility to conduct due diligence to ascertain the exact source and chain of custody for the minerals/metals listed in the Act (tantalum, tin, tungsten, and gold) and as such requires your cooperation to obtain source information.

It is critical to identify the smelters and refiners of minerals in your supply chain to determine whether the materials or products contain “Conflict Minerals” that originate in the Democratic Republic of the Congo (DCR) or adjacent countries. Material specification may be found in the raw periodic table delimitation. This compliance effort includes the packaging that you as a supplier use and any advertising products that as a company you may distribute.

To learn more about the legislation and ‘Conflict Minerals’, please see SABRe NTS #369.

**STATEMENT:** We do/ do not *(delete as appropriate)* understand that we must be compliant with the Dodd-Frank Act and the Securities and Exchange Commission’s ruling on conflict minerals and ensure we carry out the relevant diligence required.

**QUESTION 6)**

Do any of the goods you supply to us contain conflict minerals? Yes  No   
*if yes please give details below*

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.....  
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**Supplier Declaration**

**To the best of my knowledge I declare that the information provided is accurate and correct at the date of signing.**

Name: .....

Title: .....

Signature: .....

Date: .....

**PLEASE RETURN THIS COMPLETED FORM TO YOUR CWF BUYER.**

Tel No: 0114 294 2200      Email Address: [purchasing@cwfletcher.co.uk](mailto:purchasing@cwfletcher.co.uk)